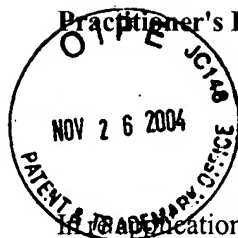


IFW

Practitioner's Docket No. 409251

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Lan Zhiyin et al.  
Application No.: 10/638,845  
Filed: August 11, 2003  
For: **PROCESS FOR THE PREPARATION OF CYCLOHEXANOL DERIVATIVES**  
Group No.: 1621  
Examiner: Not yet assigned

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**  
**FILED UNDER 37 C.F.R. § 1.97(B)**

Pursuant to 37 C.F.R. §§ 1.56, 1.97(b) and 1.98, Applicants submit herewith an Information Disclosure Statement Form PTO-1449 (modified).

This Application was filed after June 30, 2003. Therefore, Applicants submit herewith Form PTO 1449— Information Disclosure Citation without copies of U.S. patents or U.S. publications, but includes copies of foreign patents, publications or other information of which Applicants are aware, which applicants believe may be material to the examination of the application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicants believe no fees are currently due. However, should any additional fees be required in connection with the filing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge said fees to Deposit Account No. 12-0600.

Respectfully submitted,

Date: 11/23/04

By 

Dan Cleveland, Jr., Reg. No. 36,106  
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Practitioner's Docket No. 409251

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In re application of: Lan Zhiyin et al.  
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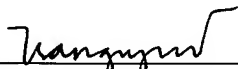
**CERTIFICATE OF MAILING**

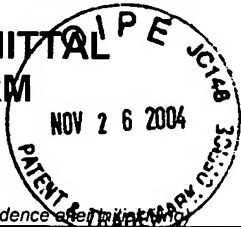
Date of Deposit: November 23, 2004

I hereby state that the following *attached* papers:


- 1) Transmittal Form (1 page)
- 2) Information Disclosure Statement Transmittal Filed Under 37 C.F.R. 1.97(b) (1 page)
- 3) Information Disclosure Statement Substitute for form 1449A/PTO (1 page)
- 4) Copies of 3 cited Foreign Patent Documents (126 pages)
- 5) Return Postcard

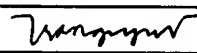
are being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8, on the date indicated above and in an envelope with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
MiMi Nguyen

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after filing)	Application Number	10/638,845
	Filing Date	August 11, 2003
	First Named Inventor	Lan Zhiyin
	Art Unit	1621
	Examiner Name	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	409251

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Substitute for Form 1449/PTO Copies of three Foreign Patent Documents Certificate of Mailing Return Postcard
<div style="border: 1px solid black; padding: 2px;">Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm	Lathrop & Gage, L.C.		
Signature			
Printed Name	Dan Cleveland, Jr.		
Date	November 23, 2004	Reg. No.	36,106

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	MiMi Nguyen	Date	November 23, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

<i>Application Number</i>	10/638,845
<i>Filing Date</i>	August 11, 2003
<i>First Named Inventor</i>	Lan Zhiyin et al.
<i>Art Unit</i>	1621
<i>Examiner Name</i>	Not yet assigned
<i>Attorney Docket Number</i>	409251

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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